Applicant: David A Huberdeau-Reid

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School: University of Memphis

PhD Entry Year: 2023

Expected Year of Completion: 2026

Letter of recommendation to be supplied by PhD Coordinator Dr. Konstantin Sokolov PhD.

# David A. Reid CPA, CPA(NY), CFA, MBA

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## PROFESSIONAL EXPERIENCE

**Supervision and Regulation Analyst (Ph.D. Fellowship)** 2024 – 2024
**Federal Reserve Board of Governors Policy & Analysis** – *Washington (DC), United States*
Member of Policy Research and Analytics (PRA) team. Team is responsible for policymaking surrounding banks' trading, credit, and operational risk models, conducting stress-testing and research on the impact of regulatory policies on real economic activity and financial stability. Conducted research on financial intermediation by banks and non-banks, and analyzing information on banks' internal risk limits as part of Volcker rule.

**Fixed Income Analyst** 2021 – 2023
**First Horizon Bank** - *New York City (NY), United States*
Supported sell-side fixed income broker-dealer team serving regional banking clients. Conducted comprehensive credit analysis, valuation, and portfolio fit assessments for municipal bonds, RMBS, CMBS, SBA, and CD products. Provided detailed reports and recommendations to enhance investment decisions, optimize portfolio performance, and strengthen client relationships. Collaborated with cross-functional teams to ensure accurate and timely market insights, contributing to the overall success of the brokerage services.

**Assurance Manager** 2017 – 2021
**Ernst & Young LLP** - *New York City (NY), United States*
Managed assurance engagement teams, focusing on GSIBs, regional banks, and broker-dealer clients. Led complex audit engagements, overseeing audit specific audit procedures, workflows, and ensuring compliance with regulatory standards. Provided guidance on complex audit issues, enhancing the accuracy and reliability of financial reporting. Fostered client relationships and collaborated with cross-functional teams.

**Assurance Supervisor** 2013 – 2017
**RSM LLP -** *Toronto (ON), Canada*
Assurance Supervisor – Financial Services and Broker-Dealer Clients

## EDUCATION

**University of Memphis: Fogelman School of Business**, Memphis (TN), United States 2023 - Current
PhD in Finance

**University of Toronto: Rotman School of Business**, Toronto (ON), Canada 2020 – 2022
Masters of Finance / 2021 Fintech Bootcamp (MFIN)

**Queens University: Smith School of Business**, London (ON), Canada 2019 – 2020
Masters of Business Administration (MBA) – Social Impact Investing Certification

**University of Saskatchewan: Edwards School of Business**, Saskatoon (SK), Canada 2017 – 2018
Masters of Accounting (MAcc)

**Brandon University,** Brandon (MB), Canada 2013 - 2017
Bachelors of Business Administration (BBA)

## PROFESSIONAL DESIGNATIONS / CERTIFICATIONS

**CFA, Chartered Financial Analyst** – *Chartered Financial Analysts Institute*

**FRM, Financial Risk Management** – *Global Association of Risk Professionals (Current)*

**CPA, Certified Public Accountant** – *New York Board of Public Accountancy*

**CPA, Chartered Professional Accountant -** *Chartered Professional Accountants of Manitoba, Ontario*

**SIE, Series 7, Series 63, Series 52 -** *Financial Industry Regulatory Authority*

**BMC, Bloomberg Markets Certification** – *Bloomberg*

## RESEARCH IN PROGRESS

**Huberdeau-Reid, D.A, Pennacchi, G.G *"Adverse Section in Deposit Insurance Following the 2023 Banking Crises"***
*Revise and Resubmit: Journal of Financial Intermediation*

**Huberdeau-Reid, D.A, Klonowski, D *"Carried Interest Schemes in Private Equity: A Case Study of Business Innovation Capital"***
*Accepted for Publication: Journal of Alternative Investments*

**Huberdeau-Reid, D.A, Black, J.R *"Federal Reserve Bails Out Bond Traders – Secondary Market Corporate Credit Facility"***
*Work in Progress*

**Huberdeau-Reid, D.A, Pennacchi, G.G. *"NCUA: Credit Union Private Deposit Insurance Schemes"***
*Work in Progress*

**Huberdeau-Reid, D.A, Carrion, A. *"Aspects of Performance of Mortgage-Backed Real Estate Investment Trusts"***
*Work in Progress*

**Huberdeau-Reid, D.A., Zahirovic-Herbert, V. *"Impact of Aging Populations on Housing Market Credit Risk"***
*Work in Progress*

## Conference Presentations

***Eastern Finance Association*,** Philadelphia, United States, April 2025 (Invited)
*(Poster Session)*
***Southwest Finance Association*,** San Antonio, United States, February 2025 (Invited)
*(Paper Presentation)*
***American Finance Association Annual Meeting*,** San Francisco, United States, January 2025
*(Poster Session)*
**University of Sydney Conference on Financial Stability**, Sydney, Australia, December 2024.
*(Paper Presentation)*
***Boca Real Estate and Finance Conference*,** Boca, United States, December 2024
*(Poster Session)*

## ACADEMIC VOLUNTEERISM AND TEACHING

**Instructor,** Fogelman School of Business, University of Memphis — 2024 - Current
*Course 3720 – Financial Markets and Institutions*

**Peer Reviewer** — 2024 - Current
Journal of Emerging Markets (JEM)

**Financial Infrastructure Lab (FISC) Manager** Fogelman School of Business, University of Memphis — 2024 - Current

**Pre-Doctoral Research Assistant** — 2022-2023
Booth School of Business, University of Chicago

**Teaching Assistant,** Rotman School of Management, University of Toronto — 2020 – 2022
*Course RSM219 – Introduction to Financial Accounting, Course RESM 333H1F –Corporate Finance*

## TECHNICAL PROFICIENCES (ADVANCED)

**General Tools**
Microsoft office Suite (Word, Excel, PowerPoint, Outlook), PowerBi, Tableau, Alteryx

**Data Science Tools**
Python, SAS, STATA, R, MATLAB

**Financial Data Tools**
Factset, S&P Capital IQ, Moody's, Bloomberg Terminal

**Board Governance, Associations, and Community Involvement**

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|---|---|
| **Canadian Society of New York,** New York City, (NY) United States<br>Runner/Fundraiser | 2023 – Current |
| **Manitoba Securities Commission**<br>Commissioner | 2019 – 2024 |
| **The Children's Foundation of Astor (Astor Services)**, New York City, (NY) United States<br>Board of Governors | 2022 - 2024 |
| **Brandon University**<br>Board of Governors | 2022 - 2024 |
| **New York Road Runners – NY Marathon,** New York City (NY) United States<br>Runner/Fundraiser | 2023 |

My current research focuses on banking and venture capital, examining the mechanisms through which financial systems and investment strategies drive innovation and entrepreneurial growth. One of my primary areas of interest is understanding the interplay between venture capital and entrepreneurial ecosystems, particularly how funding dynamics influence the success of startups and early-stage companies. This work aims to provide actionable insights for both policymakers and practitioners in the financial and entrepreneurial sectors.

Looking forward, I seek to deepen my expertise in entrepreneurial literature and enhance my methodological skills to address complex research questions at the intersection of finance and entrepreneurship. The Entrepreneurship Research Bootcamp offers an unparalleled opportunity to gain advanced research skills and in-depth knowledge of entrepreneurial literature through its intensive and collaborative program. The Bootcamp's focus on cutting-edge research techniques and its interdisciplinary approach align perfectly with my aspirations to produce impactful scholarship in entrepreneurial finance.

By attending the Bootcamp, I aim to strengthen my theoretical frameworks, develop innovative research methodologies, and contribute to the growing body of knowledge on entrepreneurship. This experience will also enable me to engage with leading scholars and peers, fostering collaborations that drive transformative research and practical solutions for the challenges faced by entrepreneurs and investors alike.